



*Brochure Supplement dated September 14, 2021*  
Loomis, Sayles & Company, L.P.

## **Investment Strategies and Portfolio Managers**

Included on the following pages is certain information about the persons who make investment decisions for client accounts in each institutional strategy offered by Loomis Sayles. Please refer to the strategy referenced below in which you are invested and refer to the separate supplement for each Portfolio Manager. The Supplements are in alphabetical order.

<b><u>Fixed Income Strategies</u></b>	<b><u>Portfolio Manager(s)</u></b>
<b>3-15 Year National Municipal Bond, Intermediate Duration Municipal Bond, Medium Duration Municipal Bond, Short Duration Municipal Bond:</b>	Pramila Agrawal, Dawn Mangerson, James LeBuhn
<b>Active U.S. Treasury:</b>	Michael Gladchun, Peter Palfrey
<b>Agency MBS:</b>	Ian Anderson, Barath Sankaran
<b>Asia Bond Plus:</b>	Elisabeth Colleran
<b>Buy &amp; Maintain, Insurance, Cash Flow Matching<sup>1</sup>:</b>	
<b>Core Fixed Income, Intermediate Duration Fixed Income, Short Duration Fixed Income:</b>	Pramila Agrawal, Christopher Harms
<b>Investment Grade Corporate Bond:</b>	Pramila Agrawal, Carol Embree, Richard Raczkowski
<b>U.S. High Yield:</b>	Pramila Agrawal, Thomas Stolberg, Todd Vandam
<b>Municipal Crossover:</b>	Pramila Agrawal, Christopher Harms, Dawn Mangerson, James LeBuhn
<b>Core Disciplined Alpha, Long Duration Disciplined Alpha, Long Corporate Disciplined Alpha, Long Credit Disciplined Alpha, Corporate Disciplined Alpha, Intermediate Core Discipline Alpha:</b>	Lynne Royer, Seth Timen

<sup>1</sup>Various fixed income strategies are able to be run in the Buy & Maintain, Insurance, or Cash Flowing Matching style to meet specific client objectives.

<b>Core Fixed Income, Intermediate Duration Fixed Income, Short Duration Fixed Income:</b>	Daniel Conklin, Christopher Harms, Clifton Rowe
<b>Core Plus Fixed Income:</b>	Peter Palfrey, Richard Raczkowski
<b>Credit Asset:</b>	Andrea DiCenso, Matthew Eagan, Kevin Kearns, Richard Raczkowski, Thomas Stolberg
<b>Credit Opportunities:</b>	John Bell, Matthew Eagan, Michael Klawitter, Elaine Stokes, Thomas Stolberg, Todd Vandam
<b>Emerging Markets Corporate Debt, Emerging Markets Short Duration Credit:</b>	Elisabeth Colleran, David Rolley, Edgardo Sternberg
<b>Emerging Markets Debt Local Currency:</b>	David Rolley, Edgardo Sternberg
<b>Emerging Markets Debt Blended Total Return:</b>	Andrea DiCenso, Peter Yanulis
<b>Euro Investment Grade Credit, Euro Sustainable Investment Grade Credit, Euro High Yield:</b>	Rik den Hartog, Pim van Mourik Broekman, Luuk Cummins, Quirijn Landman, Sipke Moes, Ronald Schep, Marco Zanotto
<b>Flexible Income:</b>	Scott Darci, Matthew Eagan
<b>Global Bond, Global Debt Unconstrained, Global Credit, Global Corporate:</b>	David Rolley, Lynda Schweitzer, Scott Service
<b>Global Disciplined Alpha:</b>	Lynne Royer, Lynda Schweitzer, Scott Service, Seth Timen
<b>Global High Yield:</b>	Matthew Eagan, Elaine Stokes, Thomas Stolberg, Todd Vandam
<b>Global High Yield Full Discretion:</b>	Matthew Eagan, Elaine Stokes, Brian Kennedy, Todd Vandam
<b>High Grade Corporate:</b>	Kevin Kearns, Richard Raczkowski
<b>High Yield Full Discretion, High Yield Conservative:</b>	Matthew Eagan, Brian Kennedy, Elaine Stokes, Todd Vandam

<b>High Yield Securitized:</b>	Stephen L'Heureux, Stephen LaPlante, Alessandro Pagani, Jennifer Thomas
<b>Investment Grade Corporate Bond, Investment Grade Intermediate Corporate Bond:</b>	Carol Embree, Richard Raczkowski
<b>Core Securitized:</b>	Ian Anderson, Stephen LaPlante, Alessandro Pagani, Barath Sankaran, Jennifer Thomas
<b>Long Duration Government/Credit, Long Duration Corporate Bond, Long Duration Credit, Liability Driven Investing:</b>	Neil Burke, John Hyll
<b>Multi-Asset Risk Premia:</b>	Kevin Kearns, Harish Sundaresh
<b>Multi-Asset Income:</b>	Tom Fahey, Kevin Kearns
<b>Multisector Full Discretion, Strategic Income, Core Plus Full Discretion:</b>	Matthew Eagan, Brian Kennedy, Elaine Stokes
<b>Investment Grade Securitized Credit:</b>	Stephen LaPlante, Alessandro Pagani, Clifton Rowe, Jennifer Thomas
<b>Senior Floating Rate and Fixed Income:</b>	John Bell, Michael Klawitter, Heather Young
<b>Senior Loans:</b>	John Bell, Michael Klawitter, Heather Young
<b>Strategic Alpha:</b>	Matthew Eagan, Brian Kennedy, Elaine Stokes, Todd Vandam
<b>U.S. High Yield:</b>	Matthew Eagan, Brian Kennedy, Elaine Stokes, Todd Vandam
<b>U.S. Treasury STRIPS:</b>	Neil Burke, John Hyll
<b>World Credit Asset:</b>	Andrea DiCenso, Tom Fahey, Kevin Kearns
<b><u>Equity Strategies</u></b>	<b><u>Portfolio Manager(s)</u></b>
<b>All Cap Growth, Large Cap Growth, Global Growth, International Growth, Long/Short Equity:</b>	Aziz Hamzaogullari
<b>Global Allocation:</b>	Matthew Eagan, David Rolley, Eileen Riley, Lee Rosenbaum
<b>Global Equity Opportunities:</b>	Eileen Riley, Lee Rosenbaum

**Long-Only and Long-Short Emerging  
Market Equities:**

Ashish Chugh

**Mid Cap Growth:**

Mark Burns, James Lamb, John Slavik

**Other:**

Pamela Czekanski

**Small Cap Growth, Small/Mid  
Cap Growth:**

Mark Burns, John Slavik

**Small/Mid Cap Core, Small Cap Value:**

Joseph Gatz, Jeffrey Schwartz

**U.S. Equity Income:**

Manjit “Bobby” Bakshi



## **Pramila Agrawal**

*Brochure Supplement dated January 12, 2021*

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### **Educational Background and Business Experience**

Pramila Agrawal (born 1978) is a vice president of Loomis Sayles, a portfolio manager and head of the custom income strategies group. Pramila joined Loomis Sayles in 2007 as an analyst in the quantitative research risk analysis group. In 2015, she joined the relative return team as a strategist and was promoted to portfolio manager for the buy & maintain strategies in 2018. Pramila earned a BE from BITS in India and an MS and PhD in Robotics from Vanderbilt University.

Pramila holds the designation of Chartered Financial Analyst ("CFA"). To earn the CFA designation, an individual must have four years of qualified investment work experience, become a member of CFA Institute, pledge to adhere to the CFA Institute Code of Ethics and Standards of Professional Conduct on an annual basis, apply for membership to a local CFA member society, and complete the CFA Program, which is a graduate-level self-study program.

### **Disciplinary Information**

Not Applicable.

### **Other Business Activities**

Not Applicable.

### **Additional Compensation**

Not Applicable.

### **Supervision**

As a portfolio manager, Pramila is subject to the Peer Review Process. Pramila is supervised by David Waldman, Loomis Sayles' chief investment officer, or his designee, who can be reached at 1-800-343-2029.



## **Ian Anderson**

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### **Educational Background and Business Experience**

Ian Anderson (born 1974) is a vice president of Loomis Sayles, a portfolio manager and the agency MBS strategist for the mortgage and structured finance team. Ian has been with Loomis Sayles since 2011. Ian earned a BS in economics from the University of Chicago and an MS in finance from the George Washington University.

### **Disciplinary Information**

Not Applicable.

### **Other Business Activities**

Not Applicable.

### **Additional Compensation**

Not Applicable.

### **Supervision**

As a portfolio manager, Ian is subject to the Peer Review Process. Ian is supervised by David Waldman, Loomis Sayles' chief investment officer, or his designee, who can be reached at 1-800-343-2029.



## **Manjit “Bobby” Bakshi**

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### **Educational Background and Business Experience**

Bobby Bakshi (born 1962) is a vice president of Loomis Sayles, a portfolio manager and associate director of the quantitative research risk analysis group. Prior to joining Loomis Sayles in 2009, Bobby was a global equity portfolio manager at Wellington Management. Bobby has previously held quantitative and risk-related positions at TIAA-CREF, Putnam Investments, Fidelity Investments and Salomon Brothers. Bobby earned a BS from the George Washington University and an MS from the University of Michigan.

Bobby holds the designation of Chartered Financial Analyst (“CFA”). To earn the CFA designation, an individual must have four years of qualified investment work experience, become a member of CFA Institute, pledge to adhere to the CFA Institute Code of Ethics and Standards of Professional Conduct on an annual basis, apply for membership to a local CFA member society, and complete the CFA Program, which is a graduate-level self-study program.

### **Disciplinary Information**

Not Applicable.

### **Other Business Activities**

Not Applicable.

### **Additional Compensation**

Not Applicable.

## **Supervision**

As a portfolio manager, Bobby is subject to the Peer Review Process. Bobby is supervised by David Waldman Loomis Sayles' chief investment officer, or his designee, who can be reached at 1-800-343-2029.





## **John R. Bell**

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Additional information about John R. Bell is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

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### **Educational Background and Business Experience**

John Bell (born 1960) is a vice president of Loomis Sayles and a portfolio manager for the fixed income group. John joined Loomis Sayles in 2001. Mr. Bell earned a BBA from the University of Massachusetts at Amherst and earned an MBA from the University of California, Berkeley.

### **Disciplinary Information**

Not Applicable.

### **Other Business Activities**

In connection with John's portfolio management duties and Loomis Sayles' registration as a Commodity Trading Adviser with the National Futures Association ("NFA"), he acts in the capacity of an "associated person" and holds a Series 3 National Commodity Futures license with the NFA.

### **Additional Compensation**

Not Applicable.

### **Supervision**

As a portfolio manager, John is subject to the Peer Review Process. John is supervised by David Waldman, Loomis Sayles' chief investment officer, or his designee, who can be reached at 1-800-343-2029.



## Neil A. Burke

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Additional information about Neil A. Burke is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

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### **Educational Background and Business Experience**

Neil (born 1969) is a vice president of Loomis Sayles and a portfolio manager for the relative return team. Neil has been with Loomis Sayles since 1997. Neil earned a BA from Catholic University and earned an MBA from Boston College.

### **Disciplinary Information**

Not Applicable.

### **Other Business Activities**

In connection with Neil's duties, he holds the Series 7 General Securities Representative license with the Financial Industry Regulatory Authority ("FINRA"). In addition, he also holds the Series 63 Uniform Securities Agent State Law registration with FINRA. Each of these registrations is held through Loomis Sayles' wholly-owned, limited purpose broker-dealer affiliate.

In addition, and also in connection with Neil's portfolio management duties and Loomis Sayles' registration as a Commodity Trading Adviser with the National Futures Association ("NFA"), he acts in the capacity of an "associated person" and holds a Series 3 National Commodity Futures license with the NFA.

### **Additional Compensation**

Not Applicable.

## **Supervision**

As a portfolio manager, Neil is subject to the Peer Review Process. Neil is supervised by David Waldman, Loomis Sayles' chief investment officer, or his designee, who can be reached at 1-800-343-2029.



## **Mark F. Burns**

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### **Educational Background and Business Experience**

Mark Burns (born 1970) is a vice president of Loomis Sayles and a co-portfolio manager of the Loomis Sayles specialty growth strategies. Mark has been with Loomis Sayles since 1999 as a small cap growth analyst working on a range of sectors, including technology, consumer and healthcare. Mark earned a BA from Colby College and earned an MBA from the Johnson School of Management at Cornell University.

Mark holds the designation of Chartered Financial Analyst ("CFA"). To earn the CFA designation, an individual must have four years of qualified investment work experience, become a member of CFA Institute, pledge to adhere to the CFA Institute Code of Ethics and Standards of Professional Conduct on an annual basis, apply for membership to a local CFA member society, and complete the CFA Program, which is a graduate-level self-study program.

### **Disciplinary Information**

Not Applicable.

### **Other Business Activities**

Not Applicable.

### **Additional Compensation**

Not Applicable.

### **Supervision**

As a portfolio manager, Mark is subject to the Peer Review Process. Mark is supervised by David Waldman, Loomis Sayles' chief investment officer, or his designee, who can be reached at 1-800-343-2029.



## Ashish Chugh

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### **Educational Background and Business Experience**

Ashish Chugh (born 1975) is a vice president of Loomis Sayles and a portfolio manager of the long-only and long-short global emerging market equities strategies. Ashish has been with Loomis Sayles since 2018. Prior to joining Loomis Sayles from Och-Ziff Capital in Hong Kong, he was a managing director and manager of an emerging markets portfolio for Och-Ziff Capital's multi-strategy hedge fund. Prior to this, Ashish spent a decade at the Wellington Management Company in Boston as a managing director of the firm's flagship hedge fund, where he focused on investing in long-short equities in emerging markets. Previously, he was an associate at Blue Chip Venture Company, where he was responsible for venture capital and private equity investments. Ashish earned a BAsC in electrical & computer engineering from the University of British Columbia and an MBA from Harvard Business School.

### **Disciplinary Information**

Not Applicable.

### **Other Business Activities**

Not Applicable.

### **Additional Compensation**

Not Applicable.

### **Supervision**

As a portfolio manager, Ashish is subject to the Peer Review Process. Ashish is supervised by David Waldman, Loomis Sayles' chief investment officer, or his designee, who can be reached at 1-800-343-2029.



## **Elisabeth Colleran**

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### **Educational Background and Business Experience**

Elisabeth Colleran (born 1963) is a vice president of Loomis Sayles and a portfolio manager. Elisabeth has been with Loomis Sayles since 2003. Elisabeth earned a BA from the University of Rochester and an MBA from the University of New Hampshire.

Elisabeth holds the designation of Chartered Financial Analyst ("CFA"). To earn the CFA designation, an individual must have four years of qualified investment work experience, become a member of CFA Institute, pledge to adhere to the CFA Institute Code of Ethics and Standards of Professional Conduct on an annual basis, apply for membership to a local CFA member society, and complete the CFA Program, which is a graduate-level self-study program.

### **Disciplinary Information**

Not Applicable.

### **Other Business Activities**

Not Applicable.

### **Additional Compensation**

Not Applicable.

### **Supervision**

As a portfolio manager, Elisabeth is subject to the Peer Review Process. Elisabeth is supervised by David Waldman, Loomis Sayles' chief investment officer, or his designee, who can be reached at 1-800-343-2029.



## **Daniel Conklin**

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### **Educational Background and Business Experience**

Dan Conklin (born 1988) is a vice president of Loomis Sayles and a portfolio manager for the relative return team. Dan joined Loomis Sayles in 2012 as a fixed income client portfolio analyst. He moved to the relative return team in 2014 as an investment analyst and was promoted to senior investment analyst in 2018, and then to associate portfolio manager in 2019. Dan earned a BS from the University of Massachusetts, Lowell and an MS in Finance from Northeastern University.

Dan holds the designation of Chartered Financial Analyst ("CFA"). To earn the CFA designation, an individual must have four years of qualified investment work experience, become a member of CFA Institute, pledge to adhere to the CFA Institute Code of Ethics and Standards of Professional Conduct on an annual basis, apply for membership to a local CFA member society, and complete the CFA Program, which is a graduate-level self-study program.

### **Disciplinary Information**

Not Applicable.

### **Other Business Activities**

Not Applicable.

### **Additional Compensation**

Not Applicable.

### **Supervision**

As a portfolio manager, Dan is subject to the Peer Review Process. Dan is supervised by David Waldman, Loomis Sayles' chief investment officer, or his designee, who can be reached at 1-800-343-2029.

## **Luuk Cummins**

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### **Educational Background and Business Experience**

Luuk Cummins (born 1986) is a portfolio manager and member of the investment committee of the euro credit team. He is responsible for co-managing the euro investment grade credit, euro sustainable investment grade credit and euro high yield strategies, with a focus on the healthcare, real estate and retail sectors. Luuk joined Loomis Sayles in 2020 from Kempen Capital Management where he was a senior portfolio manager for the euro credit team. Luuk earned an MSc in business and economics from Erasmus University Rotterdam.

Luuk holds the designation of Chartered Financial Analyst ("CFA"). To earn the CFA designation, an individual must have four years of qualified investment work experience, become a member of CFA Institute, pledge to adhere to the CFA Institute Code of Ethics and Standards of Professional Conduct on an annual basis, apply for membership to a local CFA member society, and complete the CFA Program, which is a graduate-level self-study program.

### **Disciplinary Information**

Not Applicable.

### **Other Business Activities**

Not Applicable.

### **Additional Compensation**

Not Applicable.



**Supervision**

As a portfolio manager, Luuk is subject to the Peer Review Process. Luuk is supervised by David Waldman, Loomis Sayles' chief investment officer, or his designee, who can be reached at 1-800-343-2029.



## **Pamela N. Czekanski**

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Additional information about Pamela N. Czekanski is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

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### **Educational Background and Business Experience**

Pam Czekanski (born 1959) is a vice president of Loomis Sayles and is a strategic relationship manager focusing on sub-advised, OCIO and corporate clients. Pam has been with Loomis Sayles since 1995. Pam earned a BA from Middlebury College. Pam is a member of the CFA Society Boston.

Pam holds the designation of Chartered Financial Analyst ("CFA"). To earn the CFA designation, an individual must have four years of qualified investment work experience, become a member of CFA Institute, pledge to adhere to the CFA Institute Code of Ethics and Standards of Professional Conduct on an annual basis, apply for membership to a local CFA member society, and complete the CFA Program, which is a graduate-level self-study program.

### **Disciplinary Information**

Not Applicable.

### **Other Business Activities**

In connection with Pam's duties and Loomis Sayles' registration as a Commodity Trading Adviser with the National Futures Association ("NFA"), she acts in the capacity of an "associated person" and holds a Series 3 National Commodity Futures license with the NFA.

### **Additional Compensation**

Not Applicable.

**Supervision**

Pam is subject to account reviews on an at least semi-annual basis by Loomis Sayles' chief investment officer, David Waldman, or his designee. Pam is directly supervised by James Sia, Head of Relationship Management, who can be reached at 1-800-343-2029.



## Scott A. Darci

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### **Educational Background and Business Experience**

Scott Darci (born 1982) is a vice president of Loomis Sayles, a portfolio manager and an equity and derivatives strategist for the full discretion team. Scott joined Loomis Sayles in 2008 as a member of the equity derivatives team responsible for researching, implementing and monitoring derivative strategies. Scott earned an undergraduate degree at Dartmouth College and an MS in investment management from Boston University.

Scott holds the designation of Chartered Financial Analyst ("CFA"). To earn the CFA designation, an individual must have four years of qualified investment work experience, become a member of CFA Institute, pledge to adhere to the CFA Institute Code of Ethics and Standards of Professional Conduct on an annual basis, apply for membership to a local CFA member society, and complete the CFA Program, which is a graduate-level self-study program.

### **Disciplinary Information**

Not Applicable.

### **Other Business Activities**

Not Applicable.

### **Additional Compensation**

Not Applicable.

## **Supervision**

As a portfolio manager, Scott is subject to the Peer Review Process. Scott is supervised by David Waldman, Loomis Sayles' chief investment officer, or his designee, who can be reached at 1-800-343-2029.



## **Rik den Hartog**

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### **Educational Background and Business Experience**

Rik den Hartog (born 1985) is a co-head and member of the investment committee of the euro credit team and a portfolio manager. Rik is responsible for co-managing the euro investment grade credit, euro sustainable investment grade credit and euro high yield strategies, with a focus on the banking and basic resources sectors. Rik joined Loomis Sayles in 2020 from Kempen Capital Management where he was a senior portfolio manager for the euro credit team and member of the investment committee. Rik earned an MSc in financial economics with honors from Erasmus University Rotterdam.

Rik holds the designation of Chartered Financial Analyst ("CFA"). To earn the CFA designation, an individual must have four years of qualified investment work experience, become a member of CFA Institute, pledge to adhere to the CFA Institute Code of Ethics and Standards of Professional Conduct on an annual basis, apply for membership to a local CFA member society, and complete the CFA Program, which is a graduate-level self-study program.

### **Disciplinary Information**

Not Applicable.

### **Other Business Activities**

Not Applicable.

### **Additional Compensation**

Not Applicable.

## **Supervision**

As a portfolio manager, Rik is subject to the Peer Review Process. Rik is supervised by David Waldman, Loomis Sayles' chief investment officer, or his designee, who can be reached at 1-800-343-2029.



## **Andrea DiCenso**

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### **Educational Background and Business Experience**

Andrea DiCenso (born 1981) is a vice president of Loomis Sayles, a co-portfolio manager and a senior strategist for the alpha strategies group. Since 2009, has been a strategist within the alpha strategies group. Andrea joined Loomis Sayles in 2006 as a junior analyst covering the investment grade and high yield commodity related sectors. Andrea earned a BS in finance from Bentley College and an MBA from Northeastern University.

### **Disciplinary Information**

Not Applicable.

### **Other Business Activities**

Not Applicable.

### **Additional Compensation**

Not Applicable.

### **Supervision**

As a portfolio manager, Andrea is subject to the Peer Review Process. Andrea is supervised by David Waldman, Loomis Sayles' chief investment officer, or his designee, who can be reached at 1-800-343-2029.





## **Matthew J. Eagan**

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Additional information about Matthew J. Eagan is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

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### **Educational Background and Business Experience**

Matt Eagan (born 1966) is an executive vice president and a member of the Board of Directors of Loomis Sayles and is a portfolio manager for the fixed income group. Matt has been with Loomis Sayles since 1997. Matt earned a BA from Northeastern University and earned an MBA from Boston University.

Matt holds the designation of Chartered Financial Analyst ("CFA"). To earn the CFA designation, an individual must have four years of qualified investment work experience, become a member of CFA Institute, pledge to adhere to the CFA Institute Code of Ethics and Standards of Professional Conduct on an annual basis, apply for membership to a local CFA member society, and complete the CFA Program, which is a graduate-level self-study program.

### **Disciplinary Information**

Not Applicable.

### **Other Business Activities**

In connection with Matt's portfolio management duties and Loomis Sayles' registration as a Commodity Trading Adviser with the National Futures Association ("NFA"), he acts in the capacity of an "associated person" and holds a Series 3 National Commodity Futures license with the NFA.

### **Additional Compensation**

Not Applicable.

## **Supervision**

As a portfolio manager, Matt is subject to the Peer Review Process. Matt is supervised by David Waldman, Loomis Sayles' chief investment officer, or his designee, who can be reached at 1-800-343-2029.



## **Carol Embree**

*Brochure Supplement dated January 12, 2021*

This brochure supplement provides information about Carol Embree that supplements Loomis, Sayles & Company, L.P.'s ("Loomis Sayles") brochure. You should have received a copy of that brochure. Please contact Loomis Sayles' Client Intake group if you did not receive Loomis Sayles' brochure or if you have questions about the content of this supplement.

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**One Financial Center**  
**Boston, MA 02111**  
**(800) 343-2029**

### **Educational Background and Business Experience**

Carol Embree (born 1963) is a vice president of Loomis Sayles and a portfolio manager for the relative return team. Carol co-manages the corporate bond strategies. Prior to becoming a portfolio manager in 2014, she was a senior credit portfolio strategist for the relative return team. Carol joined Loomis Sayles in 1985. Carol earned a BS from Bryant University. Carol is a member of CFA Society Boston.

Carol holds the designation of Chartered Financial Analyst ("CFA"). To earn the CFA designation, an individual must have four years of qualified investment work experience, become a member of CFA Institute, pledge to adhere to the CFA Institute Code of Ethics and Standards of Professional Conduct on an annual basis, apply for membership to a local CFA member society, and complete the CFA Program, which is a graduate-level self-study program.

### **Disciplinary Information**

Not Applicable.

### **Other Business Activities**

Not Applicable.

### **Additional Compensation**

Not Applicable.

### **Supervision**

As a portfolio manager, Carol is subject to the Peer Review Process. Carol is supervised by David Waldman, Loomis Sayles' chief investment officer, or his designee, who can be reached at 1-800-343-2029.



## **Tom Fahey**

*Brochure Supplement dated January 12, 2021*

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One Financial Center  
Boston, MA 02111  
(800) 343-2029**

### **Educational Background and Business Experience**

Tom Fahey (born 1969) is a vice president of Loomis Sayles, a co-portfolio manager and co-director of macro strategies. Tom joined Loomis Sayles in 2010. Previously, he was a senior portfolio manager and global bond strategist at Standish Mellon Asset Management. Prior to joining Standish, he worked at The Bank Credit Analyst (BCA) Research Group as a foreign exchange strategist. Tom earned a BA from St. Francis Xavier University in Nova Scotia and an MA from Concordia University in Quebec.

### **Disciplinary Information**

Not Applicable.

### **Other Business Activities**

Not Applicable.

### **Additional Compensation**

Not Applicable.

### **Supervision**

As a portfolio manager, Tom is subject to the Peer Review Process. Tom is supervised by David Waldman, Loomis Sayles' chief investment officer, or his designee, who can be reached at 1-800-343-2029.



## Joseph R. Gatz

*Brochure Supplement dated January 12, 2021*

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Loomis, Sayles & Company, L.P.  
39533 Woodward Ave., Suite 300  
Bloomfield Hills, MI 48304  
(248) 646-2100

### **Educational Background and Business Experience**

Joe Gatz (born 1962) is a vice president of Loomis Sayles and a portfolio manager for the equity group. In addition to his portfolio management responsibilities, he also maintains primary research coverage for several holdings within the small/mid cap and small cap value strategies, with an emphasis on special situations, as well as industrial, technology and healthcare. Joe has been with Loomis Sayles since 1999. Joe earned a BA from Michigan State University and an MBA from Indiana University.

Joe holds the designation of Chartered Financial Analyst ("CFA"). To earn the CFA designation, an individual must have four years of qualified investment work experience, become a member of CFA Institute, pledge to adhere to the CFA Institute Code of Ethics and Standards of Professional Conduct on an annual basis, apply for membership to a local CFA member society, and complete the CFA Program, which is a graduate-level self-study program.

### **Disciplinary Information**

Not Applicable.

### **Other Business Activities**

Not Applicable.

### **Additional Compensation**

Not Applicable.

### **Supervision**

As a portfolio manager, Joe is subject to the Peer Review Process. Joe is supervised by David Waldman, Loomis Sayles' chief investment officer, or his designee, who can be reached at 1-800-343-2029.



## **Michael F. Gladchun**

*Brochure Supplement dated January 12, 2021*

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**One Financial Center**  
**Boston, MA 02111**  
**(800) 343-2029**

### **Educational Background and Business Experience**

Michael Gladchun (born 1978) is a vice president of Loomis Sayles, a co-portfolio manager for the active US Treasury strategies and director of US rates trading. Michael joined Loomis Sayles in 2004 as a global settlements administrator and joined the trading desk in 2006. Michael has been a co-leader of the US yield curve sector team since 2014. Michael earned a BA from the University of Vermont and an MBA from Boston University.

### **Disciplinary Information**

Not Applicable.

### **Other Business Activities**

Not Applicable.

### **Additional Compensation**

Not Applicable.

### **Supervision**

As a portfolio manager, Michael is subject to the Peer Review Process. Michael is supervised by David Waldman, Loomis Sayles' chief investment officer, or his designee, who can be reached at 1-800-343-2029.



## **Aziz V. Hamzaogullari**

*Brochure Supplement dated January 12, 2021*

This brochure supplement provides information about Aziz V. Hamzaogullari that supplements Loomis, Sayles & Company, L.P.'s ("Loomis Sayles") brochure. You should have received a copy of that brochure. Please contact Loomis Sayles' Client Intake group if you did not receive Loomis Sayles' brochure or if you have questions about the content of this supplement.

Additional information about Aziz V. Hamzaogullari is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

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**One Financial Center**  
**Boston, MA 02111**  
**(800) 343-2029**

### **Educational Background and Business Experience**

Aziz Hamzaogullari (born 1969) is the chief investment officer and founder of the growth equities strategies team and portfolio manager. He is also an executive vice president and a member of the Board of Directors of Loomis Sayles. Aziz joined Loomis Sayles in 2010 from Evergreen Investments where he was a senior portfolio manager and managing director. He joined Evergreen in 2001, was promoted to director of research in 2003 and portfolio manager in 2006. He was head of Evergreen's Berkeley Street Growth Equity team and was the founder of the research and investment process. Prior to joining Evergreen, he was a senior equity analyst and portfolio manager at Manning & Napier Advisors. Aziz earned a BS from Bilkent University, Turkey, and an MBA from George Washington University. Aziz is also a member of CFA Society Boston.

Aziz holds the designation of Chartered Financial Analyst ("CFA"). To earn the CFA designation, an individual must have four years of qualified investment work experience, become a member of CFA Institute, pledge to adhere to the CFA Institute Code of Ethics and Standards of Professional Conduct on an annual basis, apply for membership to a local CFA member society, and complete the CFA Program, which is a graduate-level self-study program.

### **Disciplinary Information**

Not Applicable.

### **Other Business Activities**

Not Applicable.

**Additional Compensation**

Not Applicable.

**Supervision**

As a portfolio manager, Aziz is subject to the Peer Review Process. Aziz is supervised by David Waldman, Loomis Sayles' chief investment officer, or his designee, who can be reached at 1-800-343-2029.





## **Christopher T. Harms**

*Brochure Supplement dated January 12, 2021*

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**One Financial Center**  
**Boston, MA 02111**  
**(800) 343-2029**

### **Educational Background and Business Experience**

Chris Harms (born 1959) is a vice president of Loomis Sayles, a portfolio manager for the fixed income group and co-head of the relative return team. Chris has been with Loomis Sayles since 2010. Prior to joining Loomis Sayles, he was a senior vice president and managing director of an investment management team at CapitalSource Bank. Prior to CapitalSource, he was a managing director and senior fixed income portfolio manager at Mackay Shields. Chris earned a BSBA from Villanova University and an MBA from Drexel University.

### **Disciplinary Information**

Not Applicable.

### **Other Business Activities**

Not Applicable.

### **Additional Compensation**

Not Applicable.

### **Supervision**

As a portfolio manager, Chris is subject to the Peer Review Process. Chris is supervised by David Waldman, Loomis Sayles' chief investment officer, or his designee, who can be reached at 1-800-343-2029.



## **John Hyll**

*Brochure Supplement dated January 12, 2021*

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Additional information about John Hyll is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

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One Financial Center  
Boston, MA 02111  
(800) 343-2029

### **Educational Background and Business Experience**

John Hyll (born 1954) is a vice president of Loomis Sayles and a portfolio manager for the fixed income group. John has been with Loomis Sayles since 1987. John earned a BBA and an MBA from Baldwin Wallace College.

### **Disciplinary Information**

Not Applicable.

### **Other Business Activities**

In connection with John's portfolio management duties and Loomis Sayles' registration as a Commodity Trading Adviser with the National Futures Association ("NFA"), he acts in the capacity of an "associated person" and holds a Series 3 National Commodity Futures license with the NFA.

### **Additional Compensation**

Not Applicable.

### **Supervision**

As a portfolio manager, John is subject to the Peer Review Process. John is supervised by David Waldman, Loomis Sayles' chief investment officer, or his designee, who can be reached at 1-800-343-2029.



## **Kevin P. Kearns**

*Brochure Supplement dated January 12, 2021*

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**One Financial Center**  
**Boston, MA 02111**  
**(800) 343-2029**

### **Educational Background and Business Experience**

Kevin Kearns (born 1962) is a vice president of Loomis Sayles and a portfolio manager. Kevin is also a leader of the firm's alpha strategies group. Before joining Loomis Sayles in 2007, he was the director of derivatives, quantitative analysis and risk management at Boldwater Capital Management, where he was responsible for the development and implementation of a credit-focused relative value hedge fund. Kevin managed derivative-based strategies focused on capital structure arbitrage, event-driven, risk arbitrage and relative value strategies. Prior to that, he spent 14 years with Fleet Boston as the managing director and group head of credit derivatives. Kevin earned a degree in physics from Bridgewater State College and an MBA from Bryant College.

### **Disciplinary Information**

Not Applicable.

### **Other Business Activities**

Not Applicable.

### **Additional Compensation**

Not Applicable.

### **Supervision**

As a portfolio manager, Kevin is subject to the Peer Review Process. Kevin is supervised by David Waldman, Loomis Sayles' chief investment officer, or his designee, who can be reached at 1-800-343-2029.



## **Brian P. Kennedy**

*Brochure Supplement dated January 12, 2021*

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**One Financial Center**  
**Boston, MA 02111**  
**(800) 343-2029**

### **Educational Background and Business Experience**

Brian Kennedy (born 1967) is a vice president of Loomis Sayles and a portfolio manager. Brian has been with Loomis Sayles since 1994. Prior to being named as a portfolio manager in 2013, he served Loomis Sayles in a number of capacities including as a product manager for the full discretion investment team, a securitized and government bond trader, a trader of bank loans, while also trading high yield, convertibles, derivatives and equities. Brian earned a BS from Providence College and an MBA from Babson College.

### **Disciplinary Information**

Not Applicable.

### **Other Business Activities**

In connection with Brian's portfolio management duties and Loomis Sayles' registration as a Commodity Trading Adviser with the National Futures Association ("NFA"), he acts in the capacity of an "associated person" and holds a Series 3 National Commodity Futures license with the NFA.

### **Additional Compensation**

Not Applicable.

### **Supervision**

As a portfolio manager, Brian is subject to the Peer Review Process. Brian is supervised by David Waldman, Loomis Sayles' chief investment officer, or his designee, who can be reached at 1-800-343-2029.



## **Michael L. Klawitter**

*Brochure Supplement dated January 12, 2021*

This brochure supplement provides information about Michael L. Klawitter that supplements Loomis, Sayles & Company, L.P.'s ("Loomis Sayles") brochure. You should have received a copy of that brochure. Please contact Loomis Sayles' Client Intake group if you did not receive Loomis Sayles' brochure or if you have questions about the content of this supplement.

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**One Financial Center**  
**Boston, MA 02111**  
**(800) 343-2029**

### **Educational Background and Business Experience**

Michael Klawitter (born 1970) is a vice president of Loomis Sayles and a portfolio manager for the bank loan team. Prior to serving as a portfolio manager, he served as an analyst and strategist for the bank loan team. Michael joined Loomis Sayles in 2000. Michael earned a BA from the University at Buffalo and an MSF from Boston College.

Michael holds the designation of Chartered Financial Analyst ("CFA"). To earn the CFA designation, an individual must have four years of qualified investment work experience, become a member of CFA Institute, pledge to adhere to the CFA Institute Code of Ethics and Standards of Professional Conduct on an annual basis, apply for membership to a local CFA member society, and complete the CFA Program, which is a graduate-level self-study program.

### **Disciplinary Information**

Not Applicable.

### **Other Business Activities**

Not Applicable.

### **Additional Compensation**

Not Applicable.

### **Supervision**

As a portfolio manager, Michael is subject to the Peer Review Process. Michael is supervised by David Waldman, Loomis Sayles' chief investment officer, or his designee, who can be reached at 1-800-343-2029.



## **Stephen C. L'Heureux**

*Brochure Supplement dated January 12, 2021*

This brochure supplement provides information about Stephen C. L'Heureux that supplements Loomis, Sayles & Company, L.P.'s ("Loomis Sayles") brochure. You should have received a copy of that brochure. Please contact Loomis Sayles' Client Intake group if you did not receive Loomis Sayles' brochure or if you have questions about the content of this supplement.

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**One Financial Center**  
**Boston, MA 02111**  
**(800) 343-2029**

### **Educational Background and Business Experience**

Stephen L'Heureux (born 1959) is a vice president of Loomis Sayles, a portfolio manager and is the global commercial real estate and CMBS strategist for the mortgage and structured finance team. Stephen is also a co-portfolio manager for select securitized credit investment strategies. Stephen has been with Loomis Sayles since 2008. Stephen earned a BA from McGill University and an MA from the University of Toronto.

Stephen holds the designation of Chartered Financial Analyst ("CFA"). To earn the CFA designation, an individual must have four years of qualified investment work experience, become a member of CFA Institute, pledge to adhere to the CFA Institute Code of Ethics and Standards of Professional Conduct on an annual basis, apply for membership to a local CFA member society, and complete the CFA Program, which is a graduate-level self-study program.

### **Disciplinary Information**

Not Applicable.

### **Other Business Activities**

Not Applicable.

### **Additional Compensation**

Not Applicable.

### **Supervision**

As a portfolio manager, Stephen is subject to the Peer Review Process. Stephen is supervised by David Waldman, Loomis Sayles' chief investment officer, or his designee, who can be reached at 1-800-343-2029.



## **James W. Lamb**

*Brochure Supplement dated January 12, 2021*

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**Boston, MA 02111**  
**(800) 343-2029**

### **Educational Background and Business Experience**

James. Lamb (born 1981) is a vice president of Loomis Sayles, a portfolio manager and a senior equity research analyst for the small cap and small-mid cap growth products and a co-portfolio manager for the mid cap growth product. James joined Loomis Sayles in 2008. James earned an AB in engineering sciences from Dartmouth College.

James holds the designation of Chartered Financial Analyst ("CFA"). To earn the CFA designation, an individual must have four years of qualified investment work experience, become a member of CFA Institute, pledge to adhere to the CFA Institute Code of Ethics and Standards of Professional Conduct on an annual basis, apply for membership to a local CFA member society, and complete the CFA Program, which is a graduate-level self-study program.

### **Disciplinary Information**

Not Applicable.

### **Other Business Activities**

Not Applicable.

### **Additional Compensation**

Not Applicable.

### **Supervision**

As a portfolio manager, James is subject to the Peer Review Process. James is supervised by David Waldman, Loomis Sayles' chief investment officer, or his designee, who can be reached at 1-800-343-2029.

## Quirijn Landman

*Brochure Supplement dated January 12, 2021*

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Loomis, Sayles & Company, L.P.  
Stadsplateau 7  
3521 AZ Utrecht  
The Netherlands  
+31 30 8009223

### **Educational Background and Business Experience**

Quirijn Landman (born 1984) is a portfolio manager for the euro credit team. Quirijn is responsible for co-managing the euro investment grade credit, euro sustainable investment grade credit and euro high yield strategies, with a focus on the financial services, infrastructure, personal and household goods and technology sectors. Quirijn joined Loomis Sayles in 2020 from Kempen Capital Management where he was a senior portfolio manager for the euro credit team. Prior to becoming a portfolio manager, he was a fiduciary manager for insurance clients at Kempen Capital Management. Quirijn earned an MSc in aerospace engineering from Delft University of Technology.

Quirijn holds the designation of Chartered Financial Analyst ("CFA"). To earn the CFA designation, an individual must have four years of qualified investment work experience, become a member of CFA Institute, pledge to adhere to the CFA Institute Code of Ethics and Standards of Professional Conduct on an annual basis, apply for membership to a local CFA member society, and complete the CFA Program, which is a graduate-level self-study program.

### **Disciplinary Information**

Not Applicable.

### **Other Business Activities**

Not Applicable.

### **Additional Compensation**

Not Applicable.



## **Supervision**

As a portfolio manager, Quirijn is subject to the Peer Review Process. Quirijn is supervised by David Waldman, Loomis Sayles' chief investment officer, or his designee, who can be reached at 1-800-343-2029.



## **Stephen LaPlante**

*Brochure Supplement dated May 14, 2021*

This brochure supplement provides information about Stephen LaPlante that supplements Loomis, Sayles & Company, L.P.'s ("Loomis Sayles") brochure. You should have received a copy of that brochure. Please contact Loomis Sayles' Client Intake group if you did not receive Loomis Sayles' brochure or if you have questions about the content of this supplement.

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One Financial Center  
Boston, MA 02111  
(800) 343-2029

### **Educational Background and Business Experience**

Stephen LaPlante (born 1982) is a vice president of Loomis Sayles, and a senior mortgage and structured finance analyst for the fixed income group, specializing in RMBS and covered bonds. He also co-manages the securitized investment strategies. Stephen has been with Loomis Sayles since 2017. Before joining Loomis Sayles, he was a senior analyst at Manulife, responsible for the mortgage credit sector including non-agency RMBS and agency risk transfer securities. Prior to this, he was a senior analyst at Income Research and Management, serving as the primary analyst in commercial mortgage credit, including CMBS and agency CMBS securities. Before this, he was at White Mountains Advisors as a portfolio analyst, maintaining portfolio level analytics, evaluating pricing models, and monitoring exposures across the firm's portfolios, which included ABS, CMBS, RMBS holdings as well as Agency, Sovereign and Corporate securities. Stephen earned a BS from Union College and an MBA from Carnegie Mellon University.

Stephen holds the designation of Chartered Financial Analyst ("CFA"). To earn the CFA designation, an individual must have four years of qualified investment work experience, become a member of CFA Institute, pledge to adhere to the CFA Institute Code of Ethics and Standards of Professional Conduct on an annual basis, apply for membership to a local CFA member society, and complete the CFA Program, which is a graduate-level self-study program.

### **Disciplinary Information**

Not Applicable.

### **Other Business Activities**

Not Applicable.

### **Additional Compensation**

Not Applicable.

## **Supervision**

As a portfolio manager, Stephen is subject to the Peer Review Process. Stephen is supervised by David Waldman, Loomis Sayles' chief investment officer, or his designee, who can be reached at 1-800-343-2029.



## James LeBuhn

*Brochure Supplement dated September 14, 2021*

This brochure supplement provides information about Jim LeBuhn that supplements Loomis, Sayles & Company, L.P.'s ("Loomis Sayles") brochure. You should have received a copy of that brochure. Please contact Loomis Sayles' Client Intake group if you did not receive Loomis Sayles' brochure or if you have questions about the content of this supplement.

Loomis, Sayles & Company, L.P.  
One Lincoln Centre  
18W 140 Butterfield Road, Suite 1200  
Oakbrook Terrace, IL 60181  
(630) 684-8600

### **Educational Background and Business Experience**

Jim LeBuhn (born 1960) is a vice president of Loomis Sayles, and a senior analyst in the credit research group, where he covers municipal bonds. Jim joined Loomis Sayles in 2019 as part of the firm's acquisition of McDonnell Investment Management, where he had most recently served as a vice president and senior fixed income analyst covering municipal bonds. Previously, Jim was a senior director and sector head of US Public Finance for Fitch Ratings where he spent 12 years performing municipal credit analysis. In his role, he led the non-profit healthcare and higher education analytical team to ensure sector compliance with analytical policies and procedures. In addition, Jim directed the team in developing and updating of rating criteria and producing special reports and commentary. His work also involved in serving as chairman on a majority of healthcare committees. He is a member of the Chicago Municipal Analysts Society and the National Federation of Municipal Analysts and has been previously elected to the Hospital (Intermediate/Long Term Care) team of All-Star Municipal Bond Analysts published by SMITH's Research & Gratings. Jim earned a BS in Economics and Political Science from Northwestern University.

### **Disciplinary Information**

Not Applicable.

### **Other Business Activities**

Not Applicable.

### **Additional Compensation**

Not Applicable.

### **Supervision**

As a portfolio manager, Jim is subject to the Peer Review Process. Jim is supervised by David Waldman, Loomis Sayles' chief investment officer, or his designee, who can be reached at 1-800-343-2029.



## **Dawn Mangerson**

*Brochure Supplement dated January 12, 2021*

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Loomis, Sayles & Company, L.P.  
One Lincoln Centre  
18W 140 Butterfield Road, Suite 1200  
Oakbrook Terrace, IL 60181  
(630) 684-8600

### **Educational Background and Business Experience**

Dawn Mangerson (born 1964) is a vice president of Loomis Sayles, a portfolio manager and the head of municipal portfolio management. Dawn joined Loomis Sayles in 2019 as part of the firm's acquisition of McDonnell Investment Management, where she had most recently served as a managing director and director of the municipal portfolio management team. Previously, she was managing director and senior portfolio manager of the municipal client group. Dawn earned a BS in finance from DePaul University.

### **Disciplinary Information**

Not Applicable.

### **Other Business Activities**

Not Applicable.

### **Additional Compensation**

Not Applicable.

### **Supervision**

As a portfolio manager, Dawn is subject to the Peer Review Process. Dawn is supervised by David Waldman, Loomis Sayles' chief investment officer, or his designee, who can be reached at 1-800-343-2029.

## **Sipke Moes**

*Brochure Supplement dated January 12, 2021*

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**Loomis, Sayles & Company, L.P.**  
Stadsplateau 7  
3521 AZ Utrecht  
The Netherlands  
+31 30 8009223

### **Educational Background and Business Experience**

Sipke Moes (born 1986) is a portfolio manager for the euro credit team. Sipke is responsible for co-managing the euro investment grade credit, euro sustainable investment grade credit and euro high yield strategies, with a focus on the chemicals, media, oil and gas and telecommunications sectors. Sipke joined Loomis Sayles in 2020 from Kempen Capital Management where he was a senior portfolio manager for the euro credit team, and the ESG specialist of the team. Sipke earned a BSc in econometrics, operations research and actuarial sciences, a BA in Scandinavian languages, literatures and linguistics, and an MSc in econometrics from the University of Groningen.

Sipke holds the designation of Chartered Financial Analyst ("CFA"). To earn the CFA designation, an individual must have four years of qualified investment work experience, become a member of CFA Institute, pledge to adhere to the CFA Institute Code of Ethics and Standards of Professional Conduct on an annual basis, apply for membership to a local CFA member society, and complete the CFA Program, which is a graduate-level self-study program.

### **Disciplinary Information**

Not Applicable.

### **Other Business Activities**

Not Applicable.

### **Additional Compensation**

Not Applicable.

**Supervision**

As a portfolio manager, Sipke is subject to the Peer Review Process. Sipke is supervised by David Waldman, Loomis Sayles' chief investment officer, or his designee, who can be reached at 1-800-343-2029.





## Alessandro Pagani

*Brochure Supplement dated January 12, 2021*

This brochure supplement provides information about Alessandro Pagani that supplements Loomis, Sayles & Company, L.P.'s ("Loomis Sayles") brochure. You should have received a copy of that brochure. Please contact Loomis Sayles' Client Intake group if you did not receive Loomis Sayles' brochure or if you have questions about the content of this supplement.

Loomis, Sayles & Company, L.P.  
One Financial Center  
Boston, MA 02111  
(800) 343-2029

### **Educational Background and Business Experience**

Alessandro Pagani (born 1969) is a vice president of Loomis Sayles, a portfolio manager and head of the mortgage and structured finance team. He also co-manages the securitized investment strategies. Alessandro has been with Loomis Sayles since 2008. Before joining Loomis Sayles, he served as co-head of global research and credit at Cambridge Place Investment Management and director of asset-backed securities research at Banc One Capital Markets. Alessandro earned his doctoral degree in economics and commerce from the University of Brescia in Italy.

Alessandro holds the designation of Chartered Financial Analyst ("CFA"). To earn the CFA designation, an individual must have four years of qualified investment work experience, become a member of CFA Institute, pledge to adhere to the CFA Institute Code of Ethics and Standards of Professional Conduct on an annual basis, apply for membership to a local CFA member society, and complete the CFA Program, which is a graduate-level self-study program.

### **Disciplinary Information**

Not Applicable.

### **Other Business Activities**

Not Applicable.

### **Additional Compensation**

Not Applicable.

## **Supervision**

As a portfolio manager, Alessandro is subject to the Peer Review Process. Alessandro is supervised by David Waldman, Loomis Sayles' chief investment officer, or his designee, who can be reached at 1-800-343-2029.



## **Peter W. Palfrey**

*Brochure Supplement dated January 12, 2021*

This brochure supplement provides information about Peter W. Palfrey that supplements Loomis, Sayles & Company, L.P.'s ("Loomis Sayles") brochure. You should have received a copy of that brochure. Please contact Loomis Sayles' Client Intake group if you did not receive Loomis Sayles' brochure or if you have questions about the content of this supplement.

Additional information about Peter W. Palfrey is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

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**Boston, MA 02111**  
**(800) 343-2029**

### **Educational Background and Business Experience**

Peter Palfrey (born 1960) is a vice president of Loomis Sayles and a portfolio manager for the fixed income group. Peter has been with Loomis Sayles since 2001. Peter earned a BA from Colgate University.

Peter holds the designation of Chartered Financial Analyst ("CFA"). To earn the CFA designation, an individual must have four years of qualified investment work experience, become a member of CFA Institute, pledge to adhere to the CFA Institute Code of Ethics and Standards of Professional Conduct on an annual basis, apply for membership to a local CFA member society, and complete the CFA Program, which is a graduate-level self-study program.

### **Disciplinary Information**

Not Applicable.

### **Other Business Activities**

In connection with Peter's portfolio management duties and Loomis Sayles' registration as a Commodity Trading Adviser with the National Futures Association ("NFA"), he acts in the capacity of an "associated person" and holds a Series 3 National Commodity Futures license with the NFA.

### **Additional Compensation**

Not Applicable.

## **Supervision**

As a portfolio manager, Peter is subject to the Peer Review Process. Peter is supervised by David Waldman, Loomis Sayles' chief investment officer, or his designee, who can be reached at 1-800-343-2029.



## **Richard G. Raczkowski**

*Brochure Supplement dated January 12, 2021*

This brochure supplement provides information about Richard G. Raczkowski that supplements Loomis, Sayles & Company, L.P.'s ("Loomis Sayles") brochure. You should have received a copy of that brochure. Please contact Loomis Sayles' Client Intake group if you did not receive Loomis Sayles' brochure or if you have questions about the content of this supplement.

Additional information about Richard G. Raczkowski is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

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**Boston, MA 02111**  
**(800) 343-2029**

### **Educational Background and Business Experience**

Rick Raczkowski (born 1961) is an executive vice president and a member of the Board of Directors of Loomis Sayles, a portfolio manager for the fixed income group and co-head of the relative return team. Rick has been with Loomis Sayles since 2001. Rick earned a BA from the University of Massachusetts and an MBA from Northeastern University.

### **Disciplinary Information**

Not Applicable.

### **Other Business Activities**

In connection with Rick's portfolio management duties and Loomis Sayles' registration as a Commodity Trading Adviser with the National Futures Association ("NFA"), he acts in the capacity of an "associated person" and holds a Series 3 National Commodity Futures license with the NFA.

### **Additional Compensation**

Not Applicable.

### **Supervision**

As a portfolio manager, Rick is subject to the Peer Review Process. Rick is supervised by David Waldman, Loomis Sayles' chief investment officer, or his designee, who can be reached at 1-800-343-2029.



## **Eileen N. Riley**

*Brochure Supplement dated January 12, 2021*

This brochure supplement provides information about Eileen N. Riley that supplements Loomis, Sayles & Company, L.P.'s ("Loomis Sayles") brochure. You should have received a copy of that brochure. Please contact Loomis Sayles' Client Intake group if you did not receive Loomis Sayles' brochure or if you have questions about the content of this supplement.

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### **Educational Background and Business Experience**

Eileen Riley (born 1974) is a vice president of Loomis Sayles and a portfolio manager. Eileen joined Loomis Sayles in 1998. Eileen earned a BA from Amherst College and an MBA from Harvard University.

Eileen holds the designation of Chartered Financial Analyst ("CFA"). To earn the CFA designation, an individual must have four years of qualified investment work experience, become a member of CFA Institute, pledge to adhere to the CFA Institute Code of Ethics and Standards of Professional Conduct on an annual basis, apply for membership to a local CFA member society, and complete the CFA Program, which is a graduate-level self-study program.

### **Disciplinary Information**

Not Applicable.

### **Other Business Activities**

Not Applicable.

### **Additional Compensation**

Not Applicable.

### **Supervision**

As a portfolio manager, Eileen is subject to the Peer Review Process. Eileen is supervised by David Waldman, Loomis Sayles' deputy investment officer, or his designee, who can be reached at 1-800-343-2029.



## David W. Rolley

*Brochure Supplement dated January 12, 2021*

This brochure supplement provides information about David W. Rolley that supplements Loomis, Sayles & Company, L.P.'s ("Loomis Sayles") brochure. You should have received a copy of that brochure. Please contact Loomis Sayles' Client Intake group if you did not receive Loomis Sayles' brochure or if you have questions about the content of this supplement.

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(800) 343-2029

### **Educational Background and Business Experience**

David Rolley (born 1952) is a vice president of Loomis Sayles, portfolio manager and co-team leader of the global fixed income group. David has been with Loomis Sayles since 1994. David earned a BA from Occidental College and studied post-graduate economics at the University of Pennsylvania.

David holds the designation of Chartered Financial Analyst ("CFA"). To earn the CFA designation, an individual must have four years of qualified investment work experience, become a member of CFA Institute, pledge to adhere to the CFA Institute Code of Ethics and Standards of Professional Conduct on an annual basis, apply for membership to a local CFA member society, and complete the CFA Program, which is a graduate-level self-study program.

### **Disciplinary Information**

Not Applicable.

### **Other Business Activities**

In connection with David's portfolio management duties and Loomis Sayles' registration as a Commodity Trading Adviser with the National Futures Association ("NFA"), he acts in the capacity of an "associated person" and holds a Series 3 license with the NFA.

### **Additional Compensation**

Not Applicable.

### **Supervision**

As a portfolio manager, David is subject to the Peer Review Process. David is supervised by David Waldman, Loomis Sayles' chief investment officer, or his designee, who can be reached at 1-800-343-2029.



## **Lee M. Rosenbaum**

*Brochure Supplement dated January 12, 2021*

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(800) 343-2029**

### **Educational Background and Business Experience**

Lee Rosenbaum (born 1972) is a vice president of Loomis Sayles and a portfolio manager. Lee has been with Loomis Sayles since 2008. Lee earned a BS from the United States Coast Guard Academy and an MBA from the Massachusetts Institute of Technology.

### **Disciplinary Information**

Not Applicable.

### **Other Business Activities**

Not Applicable.

### **Additional Compensation**

Not Applicable.

### **Supervision**

As a portfolio manager, Lee is subject to the Peer Review Process. Lee is supervised by David Waldman, Loomis Sayles' chief investment officer, or his designee, who can be reached at 1-800-343-2029.





## **Clifton V. Rowe**

*Brochure Supplement dated January 12, 2021*

This brochure supplement provides information about Clifton V. Rowe that supplements Loomis, Sayles & Company, L.P.'s ("Loomis Sayles") brochure. You should have received a copy of that brochure. Please contact Loomis Sayles' Client Intake group if you did not receive Loomis Sayles' brochure or if you have questions about the content of this supplement.

Additional information about Clifton V. Rowe is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

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**One Financial Center**  
**Boston, MA 02111**  
**(800) 343-2029**

### **Educational Background and Business Experience**

Cliff Rowe (born 1970) is a vice president of Loomis Sayles and a portfolio manager for the fixed income group and the mortgage and structured finance team. Cliff has been with Loomis Sayles since 1992. Cliff earned a BBA from James Madison University and an MBA from the University of Chicago.

Cliff holds the designation of Chartered Financial Analyst ("CFA"). To earn the CFA designation, an individual must have four years of qualified investment work experience, become a member of CFA Institute, pledge to adhere to the CFA Institute Code of Ethics and Standards of Professional Conduct on an annual basis, apply for membership to a local CFA member society, and complete the CFA Program, which is a graduate-level self-study program.

### **Disciplinary Information**

Not Applicable.

### **Other Business Activities**

In connection with Cliff's portfolio management duties and Loomis Sayles' registration as a Commodity Trading Adviser with the National Futures Association ("NFA"), he acts in the capacity of an "associated person" and holds a Series 3 National Commodity Futures license with the NFA.

### **Additional Compensation**

Not Applicable.

## **Supervision**

As a portfolio manager, Cliff is subject to the Peer Review Process. Cliff is supervised by David Waldman, Loomis Sayles' chief investment officer, or his designee, who can be reached at 1-800-343-2029.



## **Lynne A. Royer**

*Brochure Supplement dated January 12, 2021*

This brochure supplement provides information about Lynne A. Royer that supplements Loomis, Sayles & Company, L.P.'s ("Loomis Sayles") brochure. You should have received a copy of that brochure. Please contact Loomis Sayles' Client Intake group if you did not receive Loomis Sayles' brochure or if you have questions about the content of this supplement.

**Loomis, Sayles & Company, L.P.**  
4 Orinda Way  
Suite 200-A  
Orinda, CA 94563-2515  
(925) 255-8500

### **Educational Background and Business Experience**

Lynne Royer (born 1961) is a vice president of Loomis Sayles, a portfolio manager and head of the disciplined alpha team. Lynne has been at Loomis Sayles since 2010. Before joining Loomis Sayles, she was senior portfolio manager and co-head of the Montgomery Core fixed income team at Wells Capital Management. Prior to that, she was a lending officer with Morgan Guaranty Trust Company (J.P. Morgan). Lynne previously held positions with Barclays de Zoete Wedd and Drexel Burnham Lambert. Lynne is a Phi Beta Kappa graduate of Gettysburg College and earned an MBA from the Anderson Graduate School of Management at the University of California, Los Angeles.

### **Disciplinary Information**

Not Applicable.

### **Other Business Activities**

Not Applicable.

### **Additional Compensation**

Not Applicable.

### **Supervision**

As a portfolio manager, Lynne is subject to the Peer Review Process. Lynne is supervised by David Waldman, Loomis Sayles' chief investment officer, or his designee, who can be reached at 1-800-343-2029.



## **Barath W. Sankaran**

*Brochure Supplement dated January 12, 2021*

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**(800) 343-2029**

### **Educational Background and Business Experience**

Barath Sankaran (born 1980) is a vice president of Loomis Sayles and a member of the mortgage and structured finance team. He is a co-portfolio manager for the dedicated agency MBS strategies and co-agency MBS portfolio manager. Barath guides other product teams at Loomis Sayles in the optimal sector allocation and portfolio implementation of agency MBS. Barath joined Loomis Sayles in 2009. Barath earned a BS from Carnegie Mellon University and an MBA from the Sloan School of Management at the Massachusetts Institute of Technology.

Barath holds the designation of Chartered Financial Analyst ("CFA"). To earn the CFA designation, an individual must have four years of qualified investment work experience, become a member of CFA Institute, pledge to adhere to the CFA Institute Code of Ethics and Standards of Professional Conduct on an annual basis, apply for membership to a local CFA member society, and complete the CFA Program, which is a graduate-level self-study program.

### **Disciplinary Information**

Not Applicable.

### **Other Business Activities**

Not Applicable.

### **Additional Compensation**

Not Applicable.

### **Supervision**

As a portfolio manager, Barath is subject to the Peer Review Process. Barath is supervised by David Waldman, Loomis Sayles' chief investment officer, or his designee, who can be reached at 1-800-343-2029.



## **Ronald Schep**

*Brochure Supplement dated January 12, 2021*

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Loomis, Sayles & Company, L.P.  
Stadsplateau 7  
3521 AZ Utrecht  
The Netherlands  
+31 30 8009223

### **Educational Background and Business Experience**

Ronald Schep (born 1987) is a portfolio manager for the euro credit team. He is responsible for co-managing the euro investment grade credit, euro sustainable investment grade credit and euro high yield strategies, with a focus on the construction and building materials sectors. Ronald joined Loomis Sayles in 2020 from Kempen Capital Management where he was a senior fixed income and derivatives trader. Previously, he was a derivatives trader at WEBB Traders and All Options. Ronald earned an MSc in business economics from Erasmus University Rotterdam.

### **Disciplinary Information**

Not Applicable.

### **Other Business Activities**

Not Applicable.

### **Additional Compensation**

Not Applicable.

### **Supervision**

As a portfolio manager, Ronald is subject to the Peer Review Process. Ronald is supervised by David Waldman, Loomis Sayles' chief investment officer, or his designee, who can be reached at 1-800-343-2029.



## **Jeffrey M. Schwartz**

*Brochure Supplement dated January 12, 2021*

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Additional information about Jeffrey M. Schwartz is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Loomis, Sayles & Company, L.P.  
39533 Woodward Ave., Suite 300  
Bloomfield Hills, MI 48304  
(248) 646-2100**

### **Educational Background and Business Experience**

Jeffrey Schwartz (born 1963) is a vice president of Loomis Sayles and a portfolio manager. In addition to his portfolio management responsibilities, he also maintains primary research coverage for the utilities sector and the transportation industry. Jeffrey has been with Loomis Sayles since 2012. Before joining Loomis Sayles, he was a senior portfolio manager for Palisade Capital Management, where he managed a small cap strategy. Prior to working at Palisade, he managed a small cap fund at Safeco Asset Management. From 1992 to 2001, he worked at Munder Capital Management, including a period co-managing their small cap and micro-cap portfolios. Jeffrey earned a BA in mathematics from the State University of New York at Binghamton and an MBA from the University of Michigan.

Jeffrey holds the designation of Chartered Financial Analyst ("CFA"). To earn the CFA designation, an individual must have four years of qualified investment work experience, become a member of CFA Institute, pledge to adhere to the CFA Institute Code of Ethics and Standards of Professional Conduct on an annual basis, apply for membership to a local CFA member society, and complete the CFA Program, which is a graduate-level self-study program.

### **Disciplinary Information**

Not Applicable.

### **Other Business Activities**

Not Applicable.

**Additional Compensation**

Not Applicable.

**Supervision**

As a portfolio manager, Jeffrey is subject to the Peer Review Process. Jeffrey is supervised by David Waldman, Loomis Sayles' chief investment officer, or his designee, who can be reached at 1-800-343-2029.



## **Lynda Schweitzer**

*Brochure Supplement dated January 12, 2021*

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**One Financial Center**  
**Boston, MA 02111**  
**(800) 343-2029**

### **Educational Background and Business Experience**

Lynda Schweitzer (born 1963) is a vice president of Loomis Sayles, a portfolio manager and co-team leader for the global fixed income group. Lynda has been with Loomis Sayles since 2001. Lynda earned a BA from the University of Rochester and an MBA from Boston University.

Lynda holds the designation of Chartered Financial Analyst ("CFA"). To earn the CFA designation, an individual must have four years of qualified investment work experience, become a member of CFA Institute, pledge to adhere to the CFA Institute Code of Ethics and Standards of Professional Conduct on an annual basis, apply for membership to a local CFA member society, and complete the CFA Program, which is a graduate-level self-study program.

### **Disciplinary Information**

Not Applicable.

### **Other Business Activities**

In connection with Lynda's portfolio management duties and Loomis Sayles' registration as a Commodity Trading Adviser with the National Futures Association ("NFA"), she acts in the capacity of an "associated person" and holds a Series 3 National Commodity Futures license with the NFA.

### **Additional Compensation**

Not Applicable.

### **Supervision**

As a portfolio manager, Lynda is subject to the Peer Review Process. Lynda is supervised by David Waldman, Loomis Sayles' chief investment officer, or his designee, who can be reached at 1-800-343-2029.





## **Scott M. Service**

*Brochure Supplement dated January 12, 2021*

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### **Educational Background and Business Experience**

Scott Service (born 1970) is a vice president of Loomis Sayles, a portfolio manager and co-team leader of the global fixed income team. Scott has been with Loomis Sayles since 1995. Scott earned a BS from Babson College and an MBA from Bentley College.

Scott holds the designation of Chartered Financial Analyst ("CFA"). To earn the CFA designation, an individual must have four years of qualified investment work experience, become a member of CFA Institute, pledge to adhere to the CFA Institute Code of Ethics and Standards of Professional Conduct on an annual basis, apply for membership to a local CFA member society, and complete the CFA Program, which is a graduate-level self-study program.

### **Disciplinary Information**

Not Applicable.

### **Other Business Activities**

Not Applicable.

### **Additional Compensation**

Not Applicable.

### **Supervision**

As a portfolio manager, Scott is subject to the Peer Review Process. Scott is supervised by David Waldman, Loomis Sayles' chief investment officer, or his designee, who can be reached at 1-800-343-2029.



## **John J. Slavik**

*Brochure Supplement dated January 12, 2021*

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### **Educational Background and Business Experience**

John Slavik (born 1968) is a vice president of Loomis Sayles and a co-portfolio manager of the specialty growth strategies. John has been with Loomis Sayles since 2005. John is also a member of the CFA Society Boston. John earned a BA from the University of Connecticut.

John holds the designation of Chartered Financial Analyst ("CFA"). To earn the CFA designation, an individual must have four years of qualified investment work experience, become a member of CFA Institute, pledge to adhere to the CFA Institute Code of Ethics and Standards of Professional Conduct on an annual basis, apply for membership to a local CFA member society, and complete the CFA Program, which is a graduate-level self-study program.

### **Disciplinary Information**

Not Applicable.

### **Other Business Activities**

Not Applicable.

### **Additional Compensation**

Not Applicable.

### **Supervision**

As a portfolio manager, John is subject to the Peer Review Process. John is supervised by David Waldman, Loomis Sayles' chief investment officer, or his designee, who can be reached at 1-800-343-2029.



## **Edgardo Sternberg**

*Brochure Supplement dated January 12, 2021*

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### **Educational Background and Business Experience**

Eddy Sternberg (born 1959) is a vice president of Loomis Sayles and a co-portfolio manager on emerging markets debt portfolios. Eddy has been with Loomis Sayles since 2005. Eddy attended the Facultad de Ingenieria, Universidad de Buenos Aires where he received his engineering degree and earned a MBA from the Sloan School of Management at MIT.

### **Disciplinary Information**

Not Applicable.

### **Other Business Activities**

Not Applicable.

### **Additional Compensation**

Not Applicable.

### **Supervision**

As a portfolio manager, Eddy is subject to the Peer Review Process. Eddy is supervised by David Waldman, Loomis Sayles' chief investment officer, or his designee, who can be reached at 1-800-343-2029.



## **Elaine M. Stokes**

*Brochure Supplement dated January 12, 2021*

This brochure supplement provides information about Elaine M. Stokes that supplements Loomis, Sayles & Company, L.P.'s ("Loomis Sayles") brochure. You should have received a copy of that brochure. Please contact Loomis Sayles' Client Intake group if you did not receive Loomis Sayles' brochure or if you have questions about the content of this supplement.

Additional information about Elaine M. Stokes is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

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### **Educational Background and Business Experience**

Elaine Stokes (born 1965) is an executive vice president and a member of the Board of Directors of Loomis Sayles, a portfolio manager and co-head of the full discretion team. Elaine has been with Loomis Sayles since 1988. Elaine earned a BS from the St. Michael's College.

### **Disciplinary Information**

Not Applicable.

### **Other Business Activities**

In connection with Elaine's portfolio management duties and Loomis Sayles' registration as a Commodity Trading Adviser with the National Futures Association ("NFA"), she acts in the capacity of an "associated person" and holds a Series 3 National Commodity Futures license with the NFA.

### **Additional Compensation**

Not Applicable.

### **Supervision**

As a portfolio manager, Elaine is subject to the Peer Review Process. Elaine is supervised by David Waldman, Loomis Sayles chief investment officer, or his designee, who can be reached at 1-800-343-2029.



## **Thomas Stolberg**

*Brochure Supplement dated January 12, 2021*

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### **Educational Background and Business Experience**

Tom Stolberg (born 1961) is a vice president of Loomis Sayles, a co-portfolio manager and an absolute return senior analyst for the fixed income group. Tom has been with Loomis Sayles since 2008. Tom earned a BA from Middlebury College. Tom is a member of CFA Society Boston and the CFA Institute.

Tom holds the designation of Chartered Financial Analyst ("CFA"). To earn the CFA designation, an individual must have four years of qualified investment work experience, become a member of CFA Institute, pledge to adhere to the CFA Institute Code of Ethics and Standards of Professional Conduct on an annual basis, apply for membership to a local CFA member society, and complete the CFA Program, which is a graduate-level self-study program.

### **Disciplinary Information**

Not Applicable.

### **Other Business Activities**

Not Applicable.

### **Additional Compensation**

Not Applicable.

### **Supervision**

As a portfolio manager, Tom is subject to the Peer Review Process. Tom is supervised by David Waldman, Loomis Sayles' chief investment officer, or his designee, who can be reached at 1-800-343-2029.



## Harish Sundaresh

*Brochure Supplement dated January 12, 2021*

This brochure supplement provides information about Harish Sundaresh that supplements Loomis, Sayles & Company, L.P.'s ("Loomis Sayles") brochure. You should have received a copy of that brochure. Please contact Loomis Sayles' Client Intake group if you did not receive Loomis Sayles' brochure or if you have questions about the content of this supplement.

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Boston, MA 02111  
(800) 343-2029

### **Educational Background and Business Experience**

Harish Sundaresh (born 1983) is a vice president of Loomis Sayles and a portfolio manager. As director of Loomis Sayles' systematic investing strategies team, he leads a team responsible for developing and managing systematic and alternative risk premia driven quantitative trading strategies in the multi-asset space. Additionally, he is responsible for leading firm-wide efforts to research and implement advanced quanta-mental portfolio construction techniques for risk premia portfolios and solutions. Prior to joining Loomis Sayles in 2010, he was a partner, director and portfolio manager at Armored Wolf. Harish earned a BS from Anna University, India and an SM from the Massachusetts Institute of Technology.

### **Disciplinary Information**

Not Applicable.

### **Other Business Activities**

Not Applicable.

### **Additional Compensation**

Not Applicable.

### **Supervision**

As a portfolio manager, Harish is subject to the Peer Review Process. Harish is supervised by David Waldman, Loomis Sayles' chief investment officer, or his designee, who can be reached at 1-800-343-2029.



## **Jennifer Thomas**

*Brochure Supplement dated May 14, 2021*

This brochure supplement provides information about Jennifer Thomas that supplements Loomis, Sayles & Company, L.P.'s ("Loomis Sayles") brochure. You should have received a copy of that brochure. Please contact Loomis Sayles' Client Intake group if you did not receive Loomis Sayles' brochure or if you have questions about the content of this supplement.

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**One Financial Center**  
**Boston, MA 02111**  
**(800) 343-2029**

### **Educational Background and Business Experience**

Jennifer Thomas (born 1979) is a vice president of Loomis Sayles and senior mortgage and structured finance analyst for the fixed income group. She specializes in ABS with a focus on consumer ABS including auto lending, student loans and unsecured consumer lending. She is responsible for generating relative value trade ideas to help enhance portfolios and achieve investment objects, and collaborating on structured product-related initiatives with client services and marketing. In addition, she has also supported the credit and research efforts in CMBS. She joined Loomis Sayles in 2007. Jennifer earned a BS from Bryant University and an MBA from Clark University.

### **Disciplinary Information**

Not Applicable.

### **Other Business Activities**

Not Applicable.

### **Additional Compensation**

Not Applicable.

### **Supervision**

As a portfolio manager, Jennifer is subject to the Peer Review Process. Jennifer is supervised by David Waldman, Loomis Sayles' chief investment officer, or his designee, who can be reached at 1-800-343-2029.



## **Seth Timen**

*Brochure Supplement dated January 12, 2021*

This brochure supplement provides information about Seth Timen that supplements Loomis, Sayles & Company, L.P.'s ("Loomis Sayles") brochure. You should have received a copy of that brochure. Please contact Loomis Sayles' Client Intake group if you did not receive Loomis Sayles' brochure or if you have questions about the content of this supplement.

**Loomis, Sayles & Company, L.P.**  
4 Orinda Way  
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Orinda, CA 94563-2515  
(925) 255-8500

### **Educational Background and Business Experience**

Seth Timen (born 1978) is a vice president of Loomis Sayles and a credit portfolio manager for the disciplined alpha team. Seth joined Loomis Sayles in 2010 as a credit trader and was later promoted to senior credit trader. Previously, he was a vice president and credit trader at Pequot Capital Management, where he was responsible for investment grade, high yield, distressed bonds and CDS, sovereign risk and structured products trading. Prior to Pequot Capital Management, he was an associate at Credit Suisse, where he provided investment strategy advice for institutional clients regarding investment grade, cross-over credit, emerging market and structured credit products. Seth earned a BA from the University of Michigan.

### **Disciplinary Information**

Not Applicable.

### **Other Business Activities**

Not Applicable.

### **Additional Compensation**

Not Applicable.

### **Supervision**

As a portfolio manager, Seth is subject to the Peer Review Process. Seth is supervised by David Waldman, Loomis Sayles' chief investment officer, or his designee, who can be reached at 1-800-343-2029.



## **Pim van Mourik Broekman**

*Brochure Supplement dated January 12, 2021*

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**Loomis, Sayles & Company, L.P.  
Stadsplateau 7  
3521 AZ Utrecht  
The Netherlands  
+31 30 8009223**

### **Educational Background and Business Experience**

Pim van Mourik Broekman (born 1974) is a co-head and member of the investment committee of the euro credit team and a portfolio manager. Pim is responsible for co-managing the euro investment grade credit, euro sustainable investment grade credit and euro high yield strategies, with a focus on automotive, industrial goods and services and insurance sectors. Since 2000, Pim has held numerous credit analyst and portfolio management roles. He joined Loomis Sayles in 2020 from Kempen Capital Management where he was a senior portfolio manager for the euro credit team and member of its investment committee. Previously, Pim was the global head of credit research at APG Asset Management. Prior to this, he was a senior credit analyst at Lombard Odier Darier Hentsch & Cie and an investment and credit analyst at ABN AMRO Asset Management. Pim earned an MSc in agricultural economics from Wageningen University in the Netherlands, and a master of business in energy systems from the Delft TopTech School of Executive Education in the Netherlands.

Pim holds the designation of Chartered Financial Analyst ("CFA"). To earn the CFA designation, an individual must have four years of qualified investment work experience, become a member of CFA Institute, pledge to adhere to the CFA Institute Code of Ethics and Standards of Professional Conduct on an annual basis, apply for membership to a local CFA member society, and complete the CFA Program, which is a graduate-level self-study program.

### **Disciplinary Information**

Not Applicable.

### **Other Business Activities**

Not Applicable.

### **Additional Compensation**

Not Applicable.

## **Supervision**

As a portfolio manager, Pim is subject to the Peer Review Process. Pim is supervised by David Waldman, Loomis Sayles' chief investment officer, or his designee, who can be reached at 1-800-343-2029.



## **Todd P. Vandam**

*Brochure Supplement dated January 12, 2021*

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**One Financial Center**  
**Boston, MA 02111**  
**(800) 343-2029**

### **Educational Background and Business Experience**

Todd Vandam (born 1970) is a vice president of Loomis Sayles and a portfolio manager. Todd has been with Loomis Sayles since 1994. Todd earned a BA in business and economics from Brown University. He is a member of the CFA Society Boston.

Todd holds the designation of Chartered Financial Analyst ("CFA"). To earn the CFA designation, an individual must have four years of qualified investment work experience, become a member of CFA Institute, pledge to adhere to the CFA Institute Code of Ethics and Standards of Professional Conduct on an annual basis, apply for membership to a local CFA member society, and complete the CFA Program, which is a graduate-level self-study program.

### **Disciplinary Information**

Not Applicable.

### **Other Business Activities**

Not Applicable.

### **Additional Compensation**

Not Applicable.

### **Supervision**

As a portfolio manager, Todd is subject to the Peer Review Process. Todd is supervised by David Waldman, Loomis Sayles' chief investment officer, or his designee, who can be reached at 1-800-343-2029.



## **Peter C. Yanulis**

*Brochure Supplement dated January 12, 2021*

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**One Financial Center**  
**Boston, MA 02111**  
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### **Educational Background and Business Experience**

Peter Yanulis (born 1985) is a vice president of Loomis Sayles and a co-portfolio manager for the emerging market debt blended total return strategy. Peter is also a multi-asset credit strategist for the alpha strategies group, focusing primarily on global emerging markets, portfolio construction and risk premia. Peter joined Loomis Sayles in 2015 as a research analyst covering emerging market sovereigns. Previously, he was at JP Morgan in a number of roles, but most recently as vice president on the emerging markets trading desk. Prior to this, he was an analyst in the asset management graduate training program and, before that, an analyst in G-10 interest rate derivatives trading. Peter began his career as an analyst in FX options trading at State Street Global Markets. Peter earned a BA in economics from Boston University and an MA with honor in international economic policy and management from Columbia University.

### **Disciplinary Information**

Not Applicable.

### **Other Business Activities**

Not Applicable.

### **Additional Compensation**

Not Applicable.

### **Supervision**

As a portfolio manager, Peter is subject to the Peer Review Process. Peter is supervised by David Waldman, Loomis Sayles' chief investment officer, or his designee, who can be reached at 1-800-343-2029.



## **Heather M. Young**

*Brochure Supplement dated January 12, 2021*

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### **Educational Background and Business Experience**

Heather Young (born 1983) is a vice president and a portfolio manager for the bank loan team. Heather has been with Loomis Sayles since 2016, and before that, had worked at Loomis Sayles from 2008 as a vice president and research analyst covering loans, high yield, investment grade, and emerging markets credits until 2011. Heather earned a BA from Boston University and an MBA from the Massachusetts Institute of Technology.

Heather holds the designation of Chartered Financial Analyst ("CFA"). To earn the CFA designation, an individual must have four years of qualified investment work experience, become a member of CFA Institute, pledge to adhere to the CFA Institute Code of Ethics and Standards of Professional Conduct on an annual basis, apply for membership to a local CFA member society, and complete the CFA Program, which is a graduate-level self-study program.

### **Disciplinary Information**

Not Applicable.

### **Additional Compensation**

Not Applicable.

### **Supervision**

As a co-portfolio manager, Heather is subject to the Peer Review Process. Heather is supervised by David Waldman, Loomis Sayles' chief investment officer, or his designee, who can be reached at 1-800-343-2029.



## **Marco Zanotto**

*Brochure Supplement dated January 12, 2021*

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+31 30 8009223

### **Educational Background and Business Experience**

Marco Zanotto (born 1993) is a portfolio manager for the euro credit team. Marco is responsible for co-managing the euro investment grade credit, euro sustainable investment grade credit and euro high yield strategies, with a focus on the food and beverage, travel and leisure and utilities sectors. Marco joined Loomis Sayles in 2020 from Kempen Capital Management where he was a portfolio manager for the euro credit team. Prior to becoming a portfolio manager, he was an investment banking analyst at Kempen & Co. Marco earned a BA in economics and business administration from the Università degli Studi di Trieste and a MSc in finance from the Università degli Studi di Trento, and an MSc in financial economics from Erasmus University Rotterdam.

### **Disciplinary Information**

Not Applicable.

### **Additional Compensation**

Not Applicable.

### **Supervision**

As a co-portfolio manager, Marco is subject to the Peer Review Process. Marco is supervised by David Waldman, Loomis Sayles' chief investment officer, or his designee, who can be reached at 1-800-343-2029.